



Ob-18-04

ITW

June 17, 2004

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Nielsen, James T. et al  
Assignee: Cardica, Inc.  
Title: Surgical System and Method for Connecting Hollow Tissue Structures  
Serial No.: 10/720,618  
Examiner: Not yet assigned  
Docket No.: 142  
Filed: November 24, 2003  
Group Art Unit: 3721

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) PTO form 1449; and
- (4) Return postcard.


☒ No additional fee is required.

☒ Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 502108

Total: \$ 0.00

**EXPRESS MAIL LABEL NO.**  
**EV430390606US**

Respectfully submitted,

  
Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Nielsen, James T. et al.  
Assignee: Cardica, Inc.  
Title: Surgical System and Method for Connecting Hollow Tissue Structures  
Serial No.: 10/720,618 Filing Date: 11/24/2003  
Examiner: Not yet assigned Group Art Unit: 3721  
Docket No.: 142

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

Brian A. Schar  
Attorney for Applicant(s)  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Dr.  
Redwood City 94063

**LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)


**ATTY. DOCKET NO.**  
142

**SERIAL NO.**  
10/720,618

**APPLICANT:**  
Nielsen, James T. et al.

**FILING DATE:**  
11/24/2003

**GROUP:**  
3721

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	6,209,773	9/7/1999	BOLDUC			
	AB	5,976,159	2/8/1996	BOLDUC			
	AC	5,662,258	7/11/1996	KNODEL			
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
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	AM						
	AN						
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
	AW						
	AX						

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AY						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)**

	AZ	
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**EXAMINER:**
**DATE CONSIDERED:**

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant